| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY DOCKET:       | SERIAL NO. | 0       | _ |
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|               |   | MVA1001USC4            |            | PŢ      |   |
|               | MATION DISCLOSURE MENT BY APPLICANT                     | APPLICANTS             |            | B. [59] |   |
|               |   | Rudy Mazzocchi, et al. |            | 25.0    |   |
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| VR                  | 2 | 2  | 8   | 1   | 4    | 4   | 8 | 04/1942 | Mathey        |       |          |                            |
| 1                   | 3 | 3  | 3   | 4   | 6    | 2   | 9 | 08/1967 | Cohn          |       |          |                            |
|                     | 3 | 5  | 4   | 0   | 4    | 3   | 1 | 11/1970 | Mobin-Uddin   |       |          |                            |
|                     | 3 | 8  | 6   | 8   | 9    | 5   | 6 | 03/1975 | Alfidi et al. |       |          |                            |
|                     | 3 | 9  | 5   | 2   | 7    | 4   | 7 | 04/1976 | Kimmel, Jr.   |       |          |                            |
|                     | 3 | 9  | 9   | 6   | 9    | 3   | 8 | 12/1976 | Clark, III    |       |          |                            |
|                     | 4 | 4  | 2   | 5   | 9    | 0   | 8 | 01/1984 | Simon         |       |          |                            |
|                     | 4 | 4  | 9   | 4   | 5    | 3   | 1 | 01/1985 | Gianturco     |       |          |                            |
| - V                 | 4 | 5  | 0   | 3   | 5    | 6   | 9 | 03/1985 | Dotter        |       |          |                            |
| Ve                  | 4 | 5  | 5   | 3   | 5    | 4   | 5 | 11/1985 | Maass et al.  |       |          |                            |

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|                   | 0 547 530       | 06/93    | Europe       |       |          |                       |
|                   | 2 020 557       | 11/79    | GB           |       |          |                       |
|                   | 2 527 301       | 11/83    | France       |       |          |                       |
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|                   | 93/10714        | 06/93    | WIPO         |       |          |                       |
|                   | 94/05372        | 03/94    | PCT          |       |          |                       |
| V,                | DD 233 303      | 02/86    | East Germany |       |          |                       |
| VB                | WO 96/01591     | 01/25/96 | PCT          |       |          |                       |

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|           | Radiology, December 1983, pp. 687-690  |
| ,         | Allison, et al., article entitled: Therapeutic Embolization, British Journal of Hospital     |
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| VB                  | 4   | 5     | 7    | 2        | 1            | 8     | 6     | 02/1986                      |        | ld et al.            |             |              |               |           |
| 1                   | 4   | 6     | 1    | 9        | 2            | 4     | 6     | 10/1986                      | Molg   | aard-Nielsen et al.  |             |              |               |           |
|                     | 4   | 6     | 4    | 3        | 1            | 8     | 4     | 02/1987                      | Mob    | in-Uddin             |             |              |               |           |
|                     | 4   | 6     | 4    | 9        | 9            | 2     | 2     | 03/1987                      | Wikt   | or                   |             |              |               |           |
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|                     | 4   | 6     | 5    | 5        | 7            | 7     | 1     | 04/1987                      | Wall   | sten                 |             |              |               |           |
|                     | 4   | 6     | 5    | 5        | 7            | 7     | 2     | 04/1998                      | De L   | iotta et al.         |             |              |               |           |
|                     | 4   | 6     | 6    | 5        | 9            | 0     | 6     | 05/1987                      | Jerv   | is                   |             |              |               |           |
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|                     | 4   | 6     | 9    | 0        | 6            | 8     | 4     | 09/1987                      | McG    | Greevy et al.        |             |              |               |           |
|                     | 4   | 6     | 9    | 9        | 6            | 1     | 1     | 10/1987                      | Bow    | den                  |             |              |               |           |
|                     | 4   | 7     | 2    | 3        | 5            | 4     | 9     | 02/1988                      |        | oley et al.          |             |              |               |           |
|                     | 4   | 7     | 2    | 7        | 8            | 7     | 3     | 03/1988                      |        | in-Uddin             |             |              |               |           |
|                     | 4   | 7     | 3    | 2        | 1            | 5     | 2     |                              |        | sten et al.          |             |              |               |           |
|                     | 4   | 7     | 3    | 3        | 6            | 6     | 5     |                              | Pain   |                      |             |              |               |           |
| <u>Vo</u>           | 4   | 7     | 9    | 0        | 8            | 1     | 2     | 12/1988                      | Haw    | kins, Jr. et al.     |             |              | <u> </u>      |           |
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| Vø                  |   |       |      |          |              |       |       | nsiuminai Ex<br>59-260, 4/83 | cpand  | lable Nitinol Coil S | Stent Gran  | ung: Premmi  | iary Re       | port,     |
| 1                   |   | 1     | 13   | Scha     | tz, e        | t al. | , "Ba | alloon-expan                 | dable  | e intracoronary ste  | ents in the | adult dog",  | Circulat      | ion, Vol. |
|                     |   | _     |      |          |              |       |       | 50-457                       | D-1    | hit Aoston Even      | مغمل حاطمان | aluminal Cra | Hina          |           |
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| VO                  | <u></u>   |       | ا ج  | <b>→</b> |              | Now   | , "W  | hen hope is                  | all in |                      | <u></u>     |              |               |           |
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| Vo                  | 4       | 7      | 9     | 3          | 3     | 4      | 8    | 12/1988     | Paln  | naz                                     |           |             |                            |
| 1                   | 4       | 7      | 9     | 4          | 9     | 2      | 8    | 01/1989     | Klets | schka                                   |           |             |                            |
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|                     | 4       | 8      | 4     | 8          | 3     | 4      | 3    | 07/1989     | Wall  | sten et al.                             |           |             |                            |
|                     | 4       | 8      | 5     | 6          | 5     | 1      | 6    | 08/1989     | Hills | tead                                    |           |             |                            |
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|                     | 4       | 9      | 2     | 6          | 8     | 5      | 8    | 05/1990     | Giffo | ord, III et al.                         |           |             |                            |
|                     | 4       | 9      | 3     | 5          | 0     | 6      | 8    | 06/1990     | Due   | rig                                     |           |             |                            |
| -                   | 4       | 9      | 6     | 9          | 8     | 9      | 1    | 11/1990     | Gew   | vertz                                   |           |             |                            |
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|                     | 4       | 9      | 9     | 0          | 1     | 5      | 6    | 02/1991     | Lefe  | bvre                                    |           |             |                            |
|                     | 4       | 9      | 9     | 1          | 6     | 0      | 2    | 02/1991     | Amp   | olatz et al.                            |           |             |                            |
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|                     | 5       | 0      | 0     | 2          | 5     | 6      | 0    | 03/1991     | Mac   | hold et al.                             |           |             |                            |
|                     | 5       | 0      | 1     | 6          | 8     | 0      | 8    | 05/1991     | Heil  | Jr. et al.                              |           |             |                            |
|                     | 5       | 0      | 2     | 6          | 3     | 7      | 7    | 06/1991     | Burt  | on et al.                               |           |             |                            |
|                     | 5       | 0      | 3     | 4          | 0     | 0      | 1    | 07/1991     | Gar   | ison et al.                             |           |             | ·                          |
| 1/                  | 5       | 0      | 4     | 1          | 0     | 9      | 3    | 08/1991     | Chu   |   |           |             |                            |
| *                   | 5       | 0      | 5     | 3          | 0     | 0      | 8    | 10/1991     | Baja  |   |           |             |                            |
| 76                  | 5       | 0      | 5     | 9          | 2     | 0      | 5    | 10/1991     | EI-N  | ounou et al.                            |           |             |                            |
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# U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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|                       |            | Sheet | 1 | of | 1 |
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| MVA1001USC4           | 10/051,591 |       |   |    |   |
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| Rudy Mazzocchi et al. |            |       |   |    |   |
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| 1/3 | WO 98/39053     | 9/11/98 | PCT     |       | DEO       |                       |
| 1   | WO 99/23976     | 5/20/99 | PCT     |       | THE C     | C:NHD                 |
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|            | Claims for S/N 08/748,066, filed 12 November 1996 (Ref. No. MVA1001USC1)        |
|            | Claims for S/N 10/051,565, filed 18 January 2002 (Ref. No. MVA1001USC2)         |
|            | Claims for S/N 10/051,492, filed 18 January 2002 (Ref. No. MVA1001USC3)         |
|            | Claims for S/N 10/051,537, filed 18 January 2002 (Ref. No. MVA1001USC5)         |
|            | Claims for S/N 10/051,648, filed 18 January 2002 (Ref. No. MVA1001USC6)         |
|            | Claims for S/N 10/060,272, filed 30 January 2002 (Ref. No. MVA1001USC7)         |
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| U.S. PATENT DOCUMENTS  EXAMINER DOCUMENT NUMBER DATE NAME CLASS SUBCLASS FILING DATE IF APPROPRIATE  FOREIGN PATENT DOCUMENTS  DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS TRANSLATION YES NO FR 2,500,756 Sept. 3, 1982 France X  OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)  Search Report for Counterpart European Application EP 0 207 6318  English Language Abstract for FR 2,500,756  EXAMINER: Initial if citation considered, whether or not citation is in conformence with MPEP 609; Draw line through citation if not  |                  | (Us        | e seve    | irai si     | neets    | s If ne | Cess     | ary)              |       | FILING DATE         | GROUP       |  |              |  |
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| Search Report for Counterpart European Application EP 0 207 6318  English Language Abstract for FR 2,500,756   EXAMINER  DATE CONSIDERED  DITOGOS  EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not  |                  |            |           |             |          |         | <u> </u> |                   |       |                     |             |  | <u> </u>     | ــــــــــــــــــــــــــــــــــــــ |
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| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not   |                  |            |           | Engl        | ish (    | Lang    | uag      | e Abstract fo     | r FR  | 2,500,756           |             |  |              |  |
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| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not   | EVAMINE          | <u> </u>   |           |             |          |         |          |                   |       | DATE CONSIDE        | RED         |  |              |  |
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

| Rudy Mazzocchi et al. |       |
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| FILING DATE           | GROUP |
| January 18, 2002      | 3731  |

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| EXAMINER INITIAL |   | DC | CUM | ENT | NUME | ER |   | DATE     | NAME               | CLASS | SUBCLASS | FILING DATE IF |
|------------------|---|----|-----|-----|------|----|---|----------|--------------------|-------|----------|----------------|
| VB               | 4 | 6  | 1   | 1   | 5    | 9  | 4 | 09/16/86 | Grayhack et al.    |       |          |                |
| 11               | 4 | 6  | 3   | 1   | 0    | 5  | 2 | 12/23/86 | Kensey             |       |          |                |
|                  | 4 | 6  | 6   | . 9 | 4    | 6  | 9 | 06/02/87 | Gifford III et al. |       |          |                |
|                  | 4 | 7  | 2   | 8   | 3    | 1  | 9 | 03/01/98 | Masch              |       |          |                |
|                  | 4 | 7  | 9   | 0   | 8    | 1  | 3 | 12/13/88 | Kensey             |       |          |                |
|                  | 4 | 8  | 0   | 7   | 6    | 2  | 6 | 02/28/89 | McGirr             |       |          |                |
|                  | 4 | 8  | 4   | 2   | 5    | 7  | 9 | 06/27/89 | Shiber             |       |          |                |
|                  | 4 | 9  | 2   | 7   | 4    | 2  | 6 | 05/22/90 | Dretler            |       |          |                |
|                  | 4 | 9  | 5   | 7   | 4    | 8  | 2 | 09/18/90 | Shiber             |       |          | ·              |
|                  | 5 | 0  | 1   | 1   | 4    | 8  | 8 | 04/30/91 | Ginsburg           |       |          |                |
|                  | 5 | 1  | 0   | 0   | 4    | 2  | 3 | 03/31/92 | Fearnot            |       |          |                |
|                  | 5 | 1  | 0   | 0   | 4    | 2  | 5 | 03/31/92 | Fischell et al.    |       |          |                |
|                  | 5 | 1  | 0   | 2   | 4    | 1  | 5 | 04/07/92 | Guenther et al.    |       |          | •              |
|                  | 5 | 1  | 3   | 5   | 5    | 3  | 1 | 08/04/92 | Shiber             |       |          |                |
|                  | 5 | 1  | 6   | 0   | 3    | 4  | 2 | 11/03/92 | Reger et al.       |       |          |                |
|                  | 5 | 1  | 9   | 2   | 2    | 8  | 6 | 03/09/93 | Phan et al.        |       |          |                |
|                  | 5 | 1  | 9   | 5   | 9    | 5  | 5 | 03/23/93 | Don Michael        |       |          |                |
|                  | 5 | 3  | 8   | 2   | 2    | 5  | 9 | 01/17/95 | Phelps             |       |          |                |
|                  | 5 | 3  | 8   | 3   | 8    | 8  | 7 | 01/24/95 | Nadal              |       |          |                |
|                  | 5 | 4  | 1   | 5   | 6    | 3  | 0 | 05/16/95 | Gory et al.        |       |          |                |
|                  | 5 | 4  | 2   | 3   | 7    | 4  | 2 | 06/13/95 | Theron             |       |          |                |
|                  | 5 | 4  | 6   | 2   | 5    | 2  | 9 | 10/31/95 | Simpson            |       |          |                |
| V                | 5 | 5  | 4   | 0   | 7    | 0  | 7 | 07/30/96 | Ressemann et al.   |       |          |                |
| VB               | 5 | 5  | 5   | 6   | 3    | 8  | 9 | 09/17/96 | Liprie             |       |          |                |

#### **FOREIGN PATENT DOCUMENTS**

|    | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES NO |
|----|-----------------|----------|---------|-------|----------|-----------------------|
| VB | WO 92/15357     | 09/17/92 | WIPO    |       |          |                       |
|    | WO 93/12723     | 07/08/93 | WIPO    |       |          |                       |
| V  | WO 94/06372     | 03/31/94 | WIPO    |       |          |                       |
| VB | WO 96/01599     | 01/25/96 | WIPO    |       |          |                       |
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|    |                 |          |         |       |          |                       |

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